

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the “Commission”) and Walter Gaffield (Gaffield).

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Walter Gaffield*, Case No. 17-004;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on May 15, 2017, the Commission alleged facts in an Initiating Order that Gaffield violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a) and (d) and KR 11A.020(2);

WHEREAS, Gaffield was at all relevant times mentioned in the Initiating Order a “public servant” as defined in KRS 11A.010(9), and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Gaffield indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Gaffield agree, pursuant to KRS 11A.100, as follows:

1. Walter Gaffield admits that he committed violations of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a) and (d), and KRS 11A.020(2), as stated in Count I, Count II, and Count III of Appendix A to the Commission’s Initiating Order of May 15, 2017, attached hereto and incorporated by reference herein.

3. Walter Gaffield agrees to pay the Commission a civil penalty of six thousand dollars (\$6,000.00) concurrently with entering this Settlement Agreement.

4. Walter Gaffield agrees that upon the Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

5. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Walter Gaffield*, Case No. 17-004.

5. This Settlement Agreement constitutes a public reprimand to Walter Gaffield, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

Walter Gaffield
Walter Gaffield

5/5/2017
Date

EXECUTIVE BRANCH ETHICS COMMISSION:

W. David Denton
W. David Denton, Chair

5-15-17
Date

William G. Francis
William G. Francis, Vice-Chair

5-15-17
Date

Sheila R. Isaac
Sheila R. Isaac, Member

5-15-17
Date

Christopher L. Thacker
Christopher L. Thacker, Member

5-15-17
Date

Theresa Fritz Camoriano
Theresa Fritz Camoriano, Member

5-15-17
Date